



THE KAISER COMMISSION ON
Medicaid and the Uninsured

Survey Questionnaire

Medicaid Balancing Incentive Program State Survey

May 2014

May 30, 2014

State Balancing Incentive Program Directors:

The Kaiser Family Foundation's Commission on Medicaid and the Uninsured (KCMU) is conducting the first survey of states approved to participate in the Balancing Incentive Program. In addition to collecting basic program information, we seek to highlight states' progress with implementing the structural requirements as well as the use of enhanced federal funds in support of other Medicaid long-term services and supports rebalancing efforts.

We would greatly appreciate your assistance with completing the survey. Should you have any questions, please contact: Molly O'Malley Watt, Consultant to KCMU at 703-371-8596 or Erica Reaves, Policy Analyst at 202-347-5270.

Please return completed surveys by Monday, June 30th to: EricaR@kff.org

Thank you!

STATE CONTACT INFORMATION

State: _____

State Agency: _____

Program Director: _____

Email: _____

Phone: _____

BASIC PROGRAM INFORMATION

1. Date Balancing Incentive Program funding was first awarded (MM/DD/YYYY): _____
2. Total Balancing Incentive Program funding earned as of June 1, 2014: \$ _____
3. Has your state's final work plan been approved by CMS? Yes No
4. Given the other new and expanded state options under the Affordable Care Act (ACA) to broaden access to Medicaid home and community-based services (HCBS) (e.g., Money Follows the Person, § 1915(k) Community First Choice state plan option, § 1915(i) HCBS state plan option), please describe your state's motivation(s) for pursuing the Balancing Incentive Program (e.g., ability to stack enhanced FMAPs from other ACA Long-Term Services and Supports (LTSS) options, etc).

5. How has the Balancing Incentive Program helped your state create new or build on existing LTSS rebalancing reforms?

COORDINATION OF BIP WITH OTHER MEDICAID HCBS PROGRAMS AND ACTIVITIES

6. Is your state using or did your state use any existing infrastructure created by the following grant programs to help with implementation of the Balancing Incentive Program?
 - a. Money Follows the Person Grant Yes No
Comments: _____
 - b. Federal Aging & Disability Resource Center (ADRC) Grant Yes No
Comments: _____
 - c. Center for Medicare and Medicaid Innovation Grant Yes No
Comments: _____
 - d. Financial Alignment Demonstrations for Dual Eligible Beneficiaries Yes No
Comments: _____
 - e. Demonstration Grant for Testing Experience and Functional Tools (TEFT) in Community-Based LTSS Yes No
Comments: _____
 - f. Other funding sources, *please list*: _____

7. Is your state using or did your state directly use Balancing Incentive Program funding to implement or expand any of the following?
 - a. Health Homes State Plan Option Yes No
 - b. § 1915(i) HCBS State Plan Option Yes No
 - c. § 1915(k) Community First Choice Yes No
 - d. § 1915(c) Waiver Programs Yes No

If Yes,

 - i. Added Services Yes No
Please list services: _____

 - ii. Increased the Number of Waiver Slots Yes No

8. Is your state spending or does your state plan to spend the Balancing Incentive Program enhanced FMAP to implement or expand any of the following?

- a. Streamlining or Automating of Beneficiary Screenings and/or Assessments Yes No
- b. Developing a Resource Allocation Strategy Based on Assessment Findings Yes No
- c. Expanding the ADRC Network/Capacity Yes No
- d. Supporting Assistive Technologies and Behavioral Modifications Yes No
- e. Improving Access to Substance Abuse Rehabilitation Services Yes No
- f. Increasing Provider Payment Rates Yes No
- g. Training Initiatives for Beneficiaries, Providers, and/or Plans Yes No
- h. Funding Stakeholder and Provider Proposals Yes No
- i. Developing IT and Related Systems Infrastructure (e.g., coordinating with health insurance exchanges) Yes No
- j. Other, please list/describe: _____

9. Are Balancing Incentive Program funds being used in your state to target specific Medicaid beneficiary groups?

- a. Elderly/Aged Yes No
- b. Individuals with Physical Disabilities Yes No
- c. Individuals with Intellectual/Developmental Disabilities Yes No
- d. Individuals with Mental Illness Yes No
- e. Individuals Residing in Institutions Who Are Transitioning to the Community Yes No
- Other, please list:

10. Under the Balancing Incentive Program, has your state partnered with any of the following state and/or local stakeholder groups?

- a. Other State Agencies Yes No
- b. Community Behavioral/Mental Health Provider(s) or Association(s) Yes No
- c. Community Intellectual/Developmental Disabilities Services Provider(s) or Association(s).. Yes No
- d. Community Brain Injury or Spinal Injury Providers(s) or Association(s) Yes No
- e. Aging and Disability Resource Centers Yes No
- f. Area Agencies on Aging Yes No
- g. Centers for Independent Living/Independent Living Advocacy Group(s) Yes No
- h. Personal Care Provider(s) or Association(s) Yes No
- i. Long-Term Care Ombudsman Program Yes No
- j. Policy or Research Organizations Yes No

Question #10, continued

- k. Information & Referral Provider(s) or Resource Network Members Yes No
- l. State Protection and Advocacy Agency Yes No
- m. Legal Aid/Legal Services Provider(s) Yes No
- n. IT and/or Technical Assistance Provider(s) (i.e., not Mission Analytics Group) Yes No
- o. Direct Care Workforce Education/Training Provider(s) Yes No
- p. Community-Based Housing Developers and/or Advocate(s) Yes No
- q. Other, please list:

PROGRESS IN MEETING THE BIP STRUCTURAL REQUIREMENTS

11. No Wrong Door (NWD)/Single Entry Point (SEP): Did your state have a NWD/SEP system prior to implementing the Balancing Incentive Program? If so, what were the key features? How has it changed or is it expected to change as a result of participation in the Balancing Incentive Program? What are the most significant challenges or issues related to implementing a NWD/SEP system in your state?

12. Conflict-Free Case Management (CFCM): Did your state have a CFCM system in place prior to implementing the Balancing Incentive Program? If so, what were the key features? How has it changed or is it expected to change as a result of participation in the Balancing Incentive Program? What are the most significant challenges or issues related to implementing CFCM in your state?

13. Core Standardized Assessment (CSA) Instrument:

- a. Prior to implementing the Balancing Incentive Program, was your state using multiple assessment instruments? Yes No

If No, please describe the single instrument that was being used and how this tool was selected:

- If Yes*, were the instruments beneficiary population-specific? Yes No

If Yes, please provide additional information:

- i. Elderly/Aged Yes No
Instrument: _____
- ii. Individuals with Physical Disabilities Yes No
Instrument: _____

Question #13, continued

- iii. Individuals with Intellectual/Developmental Disabilities .. Yes No
Instrument: _____
- iv. Individuals with Mental Illness Yes No
- v. Other, please list target population and instrument:

b. What is your state moving toward/changing, if anything, as a result of participation in the Balancing Incentive Program (e.g., developing a CSA instrument, amending existing tools to meet the program’s core data set requirements)? How have the Balancing Incentive Program’s CSA components helped your state be inclusive of all community-based LTSS populations? What challenges have you experienced in developing an instrument that incorporates the core data set for all populations?

c. When a beneficiary’s assessment data need to be shared among various state and/or local agencies and providers, please describe how these data are accessed. Who is responsible for ensuring the protection of beneficiaries’ protected health information?

QUALITY AND EVALUATION

14. Please describe current quality and/or evaluation processes in place (new or long-standing) that are used to track progress under the Balancing Incentive Program (e.g., beneficiary and caregiver satisfaction surveys, quality and outcome measures):

IMPLEMENTING BIP IN A MANAGED CARE ENVIRONMENT (AS APPLICABLE)

15. If your state is operating capitated Medicaid managed LTSS systems, please describe how your state collaborates with managed care organizations to implement the structural requirements under the Balancing Incentive Program? If your state is using a managed fee-for-service arrangement, please describe how such a model has affected implementation efforts.

LESSONS LEARNED AND TRACKING PROGRESS THROUGH FY 2015

16. Please list the following with the most recent data available.

Total Medicaid LTSS Spending: \$ _____ as of date (MM/DD/YYYY): _____

Share of Total Spent on HCBS: _____%

17. How has the share of total Medicaid dollars devoted to HCBS changed in your state since implementing the Balancing Incentive Program?

- Increased Decreased No Change Do Not Know

18. What strategies are you finding most successful in helping to reach your Medicaid HCBS spending goal (i.e., 25% or 50% of total Medicaid LTSS dollars) or what do you anticipate will be most helpful in achieving the spending goal?

19. What impact will the structural change requirements have on beneficiary access to Medicaid HCBS?

- Increased Decreased No Change

Please describe:

20. Is your state on pace to meet the structural requirements by September 30, 2015?

- Yes No

If Yes, which specific strategies have been most successful in helping your state meet the requirements? If No, please describe any challenges your state is experiencing.

21. Has your state developed a sustainability plan to maintain the structural changes when the Balancing Incentive Program funding expires in September 2015?

- Yes No

If Yes, please describe:

THANK YOU FOR YOUR PARTICIPATION IN THIS SURVEY!



**THE KAISER COMMISSION ON
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